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|---|-------------|------------------------|---|--|
| TRADE   |             | Application Number     | 10/724,453  |  |
| TRANSMITTAL                                     |             | Filing Date            | 11/28/2003  |  |
| FORM  |             | First Named Inventor   | James C. Peacock III  |  |
|   |             | Art Unit               | 3731  |  |
| (to be used for all correspondence after initia | l filing)   | Examiner Name          |   |  |
| Total Number of Pages in This Submission        | 21          | Attorney Docket Number | 51298-00007   |  |
| <u> </u>  |             |                        |   |  |

| Total Number  | of Pages in This Submission | 21        |                 | 51298-0  | 10007   |  |                               |
|---|-----------------------------|-----------|-----------------|----------|---------|--|-------------------------------|
| ENCLOSURES (Check all that apply)   |                             |           |                 |          |         |  |                               |
| Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Reply to Missing Parts  under 37 CFR 1.52 or 1.53  Drawing(s)  Licensing-related Papers  Petition  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence of Change of Change o |                             |           |                 |          |         | Appeal<br>of Appeal<br>(Appeal<br>Proprie<br>Status<br>Other I<br>below) | Enclosure(s) (please Identify |
| <i></i>   | SIGNA                       | TURE OF A | APPLICANT, ATTO | RNEY O   | R AGE   | =NT  |                               |
| Firm Name   |                             |           | AFFEIOART, ATTO | KILLI, C | A A O L | -141   |                               |
| Signature   | Preston Gates & Ell         | CXV       | 1 11 —          |          |         |  |                               |
| Printed name Michelle S. Glasky, Ph.D.  |                             |           |                 |          |         |  |                               |
| Date  | 6/6/05                      |           |                 | Reg. No. | 54,12   | 4  |                               |
| CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:  |                             |           |                 |          |         |  |                               |
| Signature   | Maria Nadal                 | <u> </u>  |                 |          |         |  |                               |
| Typed or printe   | d name                      | a ha      | lal             |          |         | Date   | 6/7/05                        |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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I hereby certify that on \_\_\_\_\_\_\_, this paper (along with any paper referred to as being attached or enclosed) is being transmitted via first class mail to the United States Postal Service with sufficient postage to in an envelope addressed to: Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

0, Alexandia, V/ 22010 1100.

Maria Nadal

**PATENT** 

Inventor: James C. Peacock III

Serial No.: 10/724,453 Filing Date: 11/28/2003

Title: Medical Device with Porous

SURFACE CONTAINING BIOERODABLE BIOACTIVE COMPOSITES AND RELATED

**METHODS** 

Examiner:

Group Art Unit: 3731

Atty Docket No.: 51298-00007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56. 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§609 and 707.05(b), enclosed is a copy of the substitute for FORM PTO-1449 listing the references that are known to applicant. Applicants enclose copies of each of the listed references which are not U.S. patents.

This art is being filed for consideration in compliance with § 1.97 within the following time period:

37 C.F.R. § 1.97 (b) This information is being filed for consideration and entry before the mailing date of any of a first office action on the merits.

The submission of the above-described documents does not constitute an admission nor should it be construed as a representation that: a search has been made, or that it is material to patentability as defined in § 1.56(b).

PATENT 51298-00007

Applicant: James C. Peacock III

Serial No.: 10/724,453 Group Art Unit: 3731

Applicant reserves the right to antedate or otherwise remove the documents as references.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of the substitute for FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicants believe no fee is due with this filing, however, if it is deemed that a fee is due, the Director is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 50-3207 associated with this response.

Respectfully submitted,

Dated: 6/6/05

Michelle S. Glasky, Ph.D' Registration No. 54,124

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PTO/SB/08A (08-03)

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|---------------------|-----------------|--------------------------|-------------------|--|---|
| Substitute for form | 9A/PTO          |                          |                   | Comple                                     | ete if Known                                      |
|                     |                 |                          |                   | Application Number                         | 10/724,453  |
| IN                  | FORMATIC        | ON DISCLOSUR             | RE .              | Filing Date                                | 11/28/2003  |
| SI                  | <b>TATEMENT</b> | BY APPLICAN              | IT                | First Named Inventor                       | James C. Peacock III                              |
|                     |                 |                          |                   | Group Art Unit                             | 3731  |
|                     | (use as many s  | sheets as necessary)     |                   | Examiner Name                              |   |
| Sheet               | 1               | of                       | 1                 | Attorney Docket Number                     | 51298-00007                                       |

| U.S. PATENT DOCUMENTS |                          |  |                  |                             |  |
|-----------------------|--------------------------|--|------------------|-----------------------------|--|
|                       |                          | Document Number                          | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where                 |
| Examiner<br>Initials  | Cite<br>No. <sup>1</sup> | Number-Kind Code <sup>2 (if known)</sup> | MM-DD-YYYY       | Applicant of Cited Document | Relevant Passages or Relevant Figures Appear |
|                       |                          | US 4,101,984                             | 07/25/1978       | MacGregor et al.            |  |
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|----------------------|--------------------------|--|--------------------------------|--|--|----------------|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Foreign Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines,<br>Where Relevant | T <sup>6</sup> |
|                      |                          | County Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> (if known) |                                |  | Passages or Relevant<br>Figures Appear   |                |
|                      |                          | EP 0875 218  | 11/04/1998                     | Advanced Cardiovascular Systems, Inc.              |  |                |
|                      |                          |  |                                |  |  | -              |
|                      |                          |  |                                |  |  |                |
|                      |                          |  |                                |  |  |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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